PRESENTED TO THE BOARD OF GOVERNORS
DATE: March 16-17, 2015

**ISSUE:** This item requests approval of a goals framework pursuant to the requirements of Education Code section 84754.6, which was included in the 2014-15 Higher Education Trailer bill.

**BACKGROUND:** The Institutional Effectiveness (IE) Division oversees the development and operation of a comprehensive technical assistance program to further student success at California community colleges. The program aims to identify and scale-up effective practices, provide technical assistance and training, and support colleges facing operational challenges. The program overlays virtually every division of the California Community Colleges Chancellor’s Office—including academic affairs, student services, workforce and economic development, research, and fiscal—and is focused on four primary operational areas: (1) student performance, (2) accreditation, (3) fiscal health, and (4) compliance with state and federal guidelines. In addition to the new division, the state has invested resources to support technical assistance and professional development to be provided through a partnership between a community college district and the Chancellor’s Office. At its November 2014 meeting, the Board of Governors (BOG) awarded the contract to the Santa Clarita Community College District. *(Background cont.)*

**RECOMMENDATION:** It is recommended the Board of Governors approve the goals framework designed to measure the ongoing condition of a community college’s operational environment.
As part of this new program, statute requires the BOG to adopt a goals framework to measure the ongoing condition of a community college’s operational environment focused at a minimum on the four operational areas identified above. To help meet this requirement, the Chancellor’s Office and Santa Clarita Community College District in collaboration with the Statewide Academic Senate created an Institutional Effectiveness Partnership Initiative (IEPI) Advisory Committee, which consists of representatives from more than a dozen statewide organizations. Peer-led resource teams coordinated by Santa Clarita Community College District will use the framework of indicators as one of several measures to assess colleges/districts expressing interest in technical assistance services. The catalyst for this effort has been the Student Success Initiative and its focus on sharing and implementing evidence-based strategies that improve student outcomes and college’s overall effectiveness.

The Budget Act of 2014 includes funding for the Chancellor’s Office to implement IEPI. Specifically, statute outlines the following:

**Senate Bill 852, 2014-15 Budget Act**

- Includes new Chancellor’s Office positions and resources.
- Appropriates $2.5 million for local assistance

**Senate Bill 860, 2014-15 Higher Education Trailer Bill**

- The Chancellor—in coordination with CCC stakeholder groups, fiscal and policy committees of the Legislature, and the Department of Finance—shall develop and the BOG shall adopt a goals framework to measure the ongoing condition of a community college’s operational environment focused at a minimum on the following:
  - Student performance and outcomes
  - Accreditation status
  - Fiscal viability
  - Programmatic compliance with state and federal guidelines
- As a condition of receipt of SSSP funds, each college shall develop, adopt, and post a goals framework that addresses at a minimum the four categories above.
- By June 30, 2015 and before each fiscal year thereafter, the Chancellor shall post both of the following:
  - Annually developed system-wide goals adopted by the BOG
  - Locally developed and adopted college/district goals
- Subject to the availability of funds in the budget, the BOG and Chancellor shall, at a minimum, assess improvement in the four categories and offer assistance.

**Analysis**

The IEPI Advisory Committee met on January 26th, February 5th and March 9th to discuss and recommend a goals framework. The goal in Year One of this effort is to rely upon metrics already collected and reported by colleges and districts. Colleges will develop, adopt, and post by June 30th locally developed and adopted goals using approved metrics.

The attached goals framework is recommended for the 2014-15 fiscal year. It was recognized that these metrics are a starting point and that the list may be revised in the future. The IEPI Advisory Committee will review the list of metrics for the 2015-16 fiscal year and recommend any changes.
### College/District Indicator | Brief Definition
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**Student performance and outcomes**

**Completion Rate (Scorecard):** Percentage of degree, certificate and/or transfer-seeking students starting first time in 2008-09 tracked for six years through 2013-14 who completed a degree, certificate or transfer-related outcomes.
- **College-Prepared**
  - Student’s lowest course attempted in Math and/or English was college level
- **Unprepared for College**
  - Student’s lowest course attempted in Math and/or English was pre-collegiate level
- **Overall**
  - Student attempted any level of Math or English in the first three years

**Remedial rate (Scorecard):** Percentage of credit students tracked for six years through 2013-14 who started first time in 2008-09 below transfer level in English, mathematics, and/or ESL and completed a college-level course in the same discipline
- **Math**
  - See above
- **English**
  - See above
- **ESL**
  - See above

**Career Technical Education Rate (Scorecard)** Percentage of students tracked for six years through 2013-14 who started first time in 2008-09 and completed more than eight units in courses classified as career technical education in a single discipline and completed a degree, certificate or transferred

**Successful course completion (Datamart)** Percentage of students who earn a grade of “C” or better or “credit” in 2013-14.

**Completion of degrees (Datamart)** Number of associate degrees completed in 2013-14

**Completion of certificates (Datamart)** Number of Chancellor’s Office-approved certificates completed in 2013-14

**Number of students who transfer to 4-year institutions (Datamart)** Number of students who transfer to a four-year institution, including CSU, UC, or private university in 2013-14.

### Accreditation Status

- **Accreditation status**
  - Latest ACCJC action:
    - Fully Accredited, Reaffirmed
    - Fully Accredited, Warning
    - Fully Accredited, Probation
    - Fully Accredited, Show Cause
    - Fully Accredited, Restoration

- **Date of next visit**
  - Informational item - no target collected.

### Fiscal viability and programmatic compliance with state and federal guidelines

- **Salary and Benefits**
  - Salaries and benefits as a percentage of unrestricted general fund expenditures, excluding other outgoing expenditures

- **Full-Time Equivalent Students**
  - Annual number of full-time equivalent students

- **Annual Operating Excess/(Deficiency)**
  - Net increase or decrease in unrestricted general fund balance

- **Fund Balance**
  - Ending unrestricted general fund balance as a percentage of total expenditures

- **Cash Balance**
  - Unrestricted and restricted general fund cash balance, excluding investments

- **Audit Findings**
  - Modified opinion, material weaknesses, or significant deficiencies as identified in an annual independent audited financial statement

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1 Metric dependent upon external variables (UC and CSU transfer admission policy) and therefore collected as information. Colleges would NOT be expected to identify a goal.

In year one, three years of baseline trend data would be prepopulated and sent to each college by the Chancellor's Office. Each college would use a collegial consultation process to set goals (short term and long term) for the subsequent year and return a spreadsheet to the Chancellor's Office with the goals in June.
Required Short-Term Institutional Goals for 2015-2016
Recommendations of the Institutional Effectiveness Goals Task Force
April 29, 2015

Goal Setting Methodology

- “Successful Course Completion Rate” goal was set at the mean of the previous 5 academic years (from 2009-2010 to 2013-2014). The rate for 2014-2015 was not used because it was not available.
- “Fund Balance” goal was set at the mean of the previous 4 academic years (from 2010-2011 to 2013-2014) instead of the mean of the previous 5 academic years because the rate for 2009-2010 (=12.8%) was an outlier. The rate for 2014-2015 was not used because it was not available.

Sources for Data and Definitions:

1 & 2 are sourced from California Community College Chancellor’s Office, Institutional Effectiveness, College Indicator Rates, accessed on April 29, 2015 from [https://misweb.cccco.edu/ie/CollegeRates.aspx](https://misweb.cccco.edu/ie/CollegeRates.aspx), except for the Accreditation Status as of July 2014, which is indicated in the Action Letter from ACCJC dated on July 3, 2014.

Definitions
1. Successful Course Completion Rate — Annual percentage of credit course enrollments where student earned a grade of C or better
2. Accreditation Status — Latest Accrediting Commission for Community and Junior Colleges (ACCJC) action
   - FA-N — Fully Accredited - No Action
   - FA-P — Fully Accredited - Probation
   - FA-W — Fully Accredited - Warning
   - FA-RA — Fully Accredited - Reaffirmed - ACCJC Comprehensive Visit

3 & 4 are sourced from California Community College Chancellor’s Office, Institutional Effectiveness, District Indicator Rates, accessed on April 29, 2015, from [https://misweb.cccco.edu/ie/DistrictRates.aspx](https://misweb.cccco.edu/ie/DistrictRates.aspx)

Definitions
3. Fund Balance — Ending unrestricted general fund balance as a percentage of total expenditures
4. Audit Findings:
   - Unmodified — Unmodified auditor's report without internal control issues
   - Modified — Modified auditor's report and/or internal control issues

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*Awaiting final recommendation from Task Force.*